Antonio Leone Carmela Gargiulo Editors

# Environmental and territorial modelling for planning and design





Federico II Open Access University Press





Università degli Studi di Napoli Federico II Scuola Politecnica e delle Scienze di Base

Smart City, Urban Planning for a Sustainable Future

4

# Environmental and territorial modelling for planning and design

Antonio Leone Carmela Gargiulo

#### Federico II Open Access University Press



*Environmental and territorial modelling for planning and design* editors Antonio Leone, Carmela Gargiulo - Napoli: FedOAPress. 2018. - (Smart City, Urban Planning for a Sustainable Future. 4).

Web link: http://www.fedoabooks.unina.it

ISBN: 978-88-6887-048-5 DOI: 10.6093/978-88-6887-048-5

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Cover and graphic project: TeMALAB



This book collects the papers presented at the 10th International Conference INPUT 2018 which will take place in Viterbo from 5th to 8th September. The Conferences pursues multiple objectives with a holistic, boundary-less character to face the complexity of today socio-ecological systems following a systemic approach aimed to problem solving. In particular, the Conference aims to present the state of art of modelling approaches employed in urban and territorial planning in national and international contexts.

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This book is the latest scientific contribution of the "Smart City, Urban Planning for a Sustainable Future" Book Series, dedicated to the collection of research e-books, published by FedOAPress - Federico II Open Access University Press. The volume contains the scientific contributions presented at the INPUT 2018 Conference and evaluated with a double peer review process by the Scientific Committee of the Conference. In detail, this publication, including 63 papers grouped in 11 sessions, for a total of 704 pages, has been edited by some members of the Editorial Staff of "TeMA Journal", here listed in alphabetical order:

- Rosaria Battarra:
- Gerardo Carpentieri;
- Federica Gaglione;
- Rosa Anna La Rocca;
- Rosa Morosini:
- Maria Rosa Tremiterra.

The most heartfelt thanks go to these young and more experienced colleagues for the hard work done in these months. A final word of thanks goes to Professor Roberto Delle Donne, Director of the CAB - Center for Libraries "Roberto Pettorino" of the University of Naples Federico II, for his active availability and the constant support also shown in this last publication.

#### Rocco Papa

Editor of the Smart City, Urban Planning for a Sustainable Future" Book Series Published by FedOAPress - Federico II Open Access University Press

### **Table of contents**

Introduction

13

#### Session 1 - Territorial modelling: state-of-art and future development

An integrated evaluation model for shaping future resilient scenarios in multi-pole territorial systems	17
Vanessa Assumma, Marta Bottero, Roberto Monaco, Ana Jacinta Soares	
Features of agents' spatial knowledge in planning open spaces. A pilot study Domenico Camarda, Giulia Mastrodonato	25
Agent-based modelling and geographic information system for evaluation of eco-district's scenarios <i>Caterina Caprioli, Marta Bottero</i>	35
Land development support in marginal areas. An opportunity of environmental quality implementation <i>Elena Cervelli, Stefania Pindozzi, Donatella Cialdea</i>	47
Landscape urbanism's interpretative models. A new vision for the Tiber river Donatella Cialdea, Chiara Pompei	57
The land of the border Silvia Dalzero	69
The territorial frames. A new integration model for local development Donato Di Ludovico, Federico d' Ascanio	79
Supporting retail planning with territorial models. Approaches, innovations and opportunities <i>Giorgio Limonta, Mario Paris</i>	87
Geosimulation methods for settlement morphologies analysis and territorial development cycles <i>Giampiero Lombardini</i>	105

### Session: 2 - Environment, planning and design: the role of modelling

Climate change and coastal cities. A methodology for facing coastal flooding Carmela Gargiulo, Rosaria Battarra, Maria Rosa Tremiterra	115
Ecosystem Services for spatial planning. A remote-sensing-based mapping approach Davide Longato, Denis Maragno, Francesco Musco, Elena Gissi	127
Integrating participatory modelling in risk management Giulia Motta Zanin, Stefania Santoro	139
Surface temperature variation and urban heat island intensity in Antofagasta, Chile Massimo Palme, Francisco Flores, Leonardo Romero	147
The places and times in risk management. The case of the school system <i>Francesca Pirlone, Ilenia Spadaro</i>	159

169

Distributed delay models. A proposal of application in urban context to forecast pest insects' life cycle *Luca Rossini, Maurizio Severini, Mario Contarini, Stefano Speranza* 

# Session 3 - Rural landscapes and well-being: towards a policy-making perspective

Spatial relations in the benefits from ecosystem services. The case study of Bratsigovo municipality Angel Petrov Burov	179
Historical land use change and landscape pattern evolution study Elena Cervelli, Ester Scotto di Perta, Annalisa di Martino, Salvatore Faugno, Stefania Pindozzi	189
Landscape defragmentation policy and planning. An assessment of strengths and weaknesses Andrea De Montis, Antonio Ledda, Vittorio Serra	199
Governance and adaptation to climate change. An investigation in Sardinia Andrea De Montis, Antonio Ledda, Elisabetta Anna Di Cesare, Daniele Trogu, Michele Campagna, Gianluca Cocco, Giovanni Satta	207
Integrating climate change adaptation into SEA. An assessment for Sardinia, Italy Andrea De Montis, Elisabetta Anna Di Cesare, Antonio Ledda, Daniele Trogu, Michele Campagna, Gianluca Cocco, Giovanni Satta, Agnese Marcus	215
Modis data for detection of landscape changes by oil palm plantations in Borneo Samuele De Petris, Piero Boccardo, Barbara Drusi, Enrico Borgogno Mondino	223
Water technologies and rural landscapes in the Apulia region. Multi-sectoral and multi- functional approaches to analysis and planning <i>Laura Grassini</i>	231
Natural rural landscape perception and restorativeness Giulio Senes, Luca Pernechele, Rita Berto, Natalia Fumagalli, Giuseppe Barbiero	243
Evaluating ecological connectivity in cultivated and urbanized areas at landscape scale. A case study in the North-East plain area of Italy <i>Maurizia Sigura, Marco Vizzari, Francesco Boscutti</i>	257

## Session 4 - Smart planning

Analysis of zoning plan changes in an urban regeneration area Burcu Aslan, Cankut Dağdal Ince	269
Italian metropolitan cities. A quantitative analysis aimed at the implementation of governance and innovation policies <i>Giuseppe Mazzeo</i>	281
Classifying railway station catchment areas. An application of node-place model to the Campania region <i>Rocco Papa, Gerardo Carpentieri</i>	299

### Session 5 - Maintenance, upgrading and innovation in cultural heritage

Social construction of space in heritage conservation. Geo-mining Park in Sardinia Nada Beretić, Arnaldo Cecchini, Zoran Đukanović	323
Enhance the historical city with new technologies Francesco Botticini, Michele Pezzagno, Michela Tiboni	331
The chartreuse in Calci. Application of a multi criteria decision making method (MCDM) to its functional recovery <i>Ewa Karwacka, Luisa Santini, Denise Italia</i>	341
Spatial data infrastructure in historical contexts. The case study of Matera <i>Piergiuseppe Pontrandolfi, Antonello Azzato</i>	357
On restoring and reviving lost religious buildings. Multi criteria analysis techniques to address an increasingly underused patrimony <i>Elisabetta Pozzobon, Luisa Santini, Alessandro Santucci</i>	369

# Session 6 - Urban and environmental planners: who is the client? The planners jobs in a new millennium

Gap Reduce. A research & development project aiming at developing a tool for promoting quality of urban life of people with autism spectrum disorder <i>Tanja Congiu, Francesco Lubrano, Luca Pilosu, Pietro Ruiu, Valentina Talu, Giulia Tola,</i> <i>Giuseppe Andrea Trunfio</i>	383
Biourbanism. The role of environmental systems in urban regeneration processes Mauro Francini, Lucia Chieffallo, Annunziata Palermo, Maria Francesca Viapiana	393
Environmental criteria. Consistency between the Minimum Environmental Criteria and the Itaca Protocol criteria concerning the quality of the intervention site <i>Mauro Francini, Giusi Mercurio, Annunziata Palermo, Maria Francesca Viapiana</i>	401
G3w-suite, publishing and managing cartographic Qgis projects on the web. The use in "Foreste Casentinesi, Monte Falterona e Campigna" National Park Walter Lorenzetti, Francesco Boccacci, Leonardo Lami, Davide Alberti, Matteo Ruocco	409

### Session 7 - Big data and data mining

Tangible and intangible aspects in the promotion and fruition of the UNESCO sites. A case of sustainable innovation *Marichela Sepe* 417

#### Session 8 - ICT & models: planning for communities

Toward clarification of meanings via ontological analysis method in environmental planning	427
processes and actions	
Domenico Camarda, Maria Rosaria Stifano Melone, Stefano Borgo, Dino Borri	

Implementing GIS technology. A spatial decision support system tool to study the impacts of land uses <i>Tullia Valeria Di Giacomo</i>	437
Augmenting the Smart City. A "new view" for the urban planning Romano Fistola, Rosa Anna La Rocca	449
Regenerate, retrain, reuse. A GIS based on spatial multi criteria analysis for the redevelopment of abandoned military areas in Pisa Anna Maria Miracco, Luisa Santini, Alessandro Santucci	461
Opportunities for the use of collaborative 3D mapping in post-disaster situations <i>Camilla Pezzica, Valerio Cutini, Clarice Bleil de Souza</i>	475

# Special session 1: Did we learn lessons? Following the paths of Giovanni Rabino

Models at the time of weak planning. Their role, if any <i>Valerio Cutini</i>	483
Informal settlements, complexity and urban models. Is there any order in autopoietic ur systems? Valerio Cutini, Valerio Dipinto	rban <b>491</b>
From the rules to the models and vice-versa for a new planning rationality <i>Giuseppe B. Las Casas, Beniamino Murgante, Francesco Scorza</i>	499
A meta-model of regional transportation planning: the case of Piedmont Sylvie Occelli	509

# Special session 2: Ecosystem-based and performance-based approaches for spatial planning

Ecosystem services and ecological networks. A case study from Flanders Ignazio Cannas, Daniela Ruggeri	531
Resilient criteria for strategic road network Mauro Francini, Sara Gaudio, Annunziata Palermo, Maria Francesca Viapiana	543
Inclusion of ecosystem-based approaches in the regulations of marine protected areas. An experimental procedure developed in Sardinia. Part 1 <i>Federica Isola, Francesca Leccis</i>	551
Inclusion of ecosystem-based approaches in the regulations of marine protected areas. An experimental procedure developed in Sardinia. Part 2 <i>Maddalena Floris, Salvatore Pinna</i>	561
Spreading green infrastructure-related benefits a study concerning Sardinia, Italy Sabrina Lai, Federica Leone, Corrado Zoppi	569
What planning for facing global challenges? approaches, policies, strategies, tools, ongoing experiences in urban areas <i>Gabriella Pultrone</i>	577
Ecology-based planning. Italian and French experimentations Angioletta Voghera, Benedetta Giudice	589

# Special session 3: Geodesign

The geological workshop of geodesign for landscape planning Pedro Benedito Casagrande, Ana Clara Mourão Moura	595
A hybrid decision-making process for wastescapes remediation. Geodesign, LCA, urban living lab interplay Maria Cerreta, Pasquale Inglese, Chiara Mazzarella	603
Towards a novel approach to geodesign analytics Chiara Cocco, Michele Campagna	611
Facing urban regeneration issues through geodesign approach. The case of Gravina in Puglia <i>Pietro Fiore, Angela Padula, Angela Pilogallo, Francesco Scorza</i>	619
A geodesign project on Post-Earthquake rehabilitation. Co-designing a strategy for Norcia Francesco Fonzino, Emil Lanfranchi	633
Complementary web-based geoinformation technology to geodesign practices. Strategic decision-making stages of co-creation in territorial planning Ana Clara Mourão Moura, Simona Tondelli, Aurelio Muzzarelli	643
Collaborative approach in strategic development planning for small municipalities. Applying geodesign methodology and tools for a new municipal strategy in Scanzano Jonico Angela Padula, Pietro Fiore, Angela Pilogallo, Francesco Scorza	665
The application of geodesign in a Brazilian illegal settlement. Participatory planning in Dandara occupation case study Susanna Patata, Priscila Lisboa De Paula, Ana Clara Mourão Moura	673
From the logic of desktop to web services applications in GIS. The construction of basic evaluation maps to support urban planning and co-design. Nicole Andrade Rocha, Ana Clara Mourão Moura, Hrishikesh Ballal, Christian Rezende, Markus Neteler	687

# A HYBRID DECISION-MAKING PROCESS FOR WASTESCAPES REMEDIATION

GEODESIGN, LCA, URBAN LIVING LAB INTERPLAY

### MARIA CERRETA, PASQUALE INGLESE CHIARA MAZZARELLA



#### How to cite item in APA format:

Cerreta, M. Inglese, P. & Mazzarella, C. (2018). A hybrid decision-making process for wastescapes remediation. Geodesign, LCA, Urban Living Lab interplay

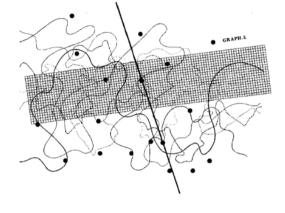
In A. Leone & C. Gargiulo (Eds.), *Environmental and territorial modelling for planning and design*. (pp. 603-610). Naples: FedOAPress. ISBN: 978-88-6887-048-5, doi: 10.6093/978-88-6887-048-5

### ABSTRACT

Horizon2020 REPAiR project, REsource Management in Peri-urban AReas. Going beyond Urban Metabolism (http://h2020repair.eu/), investigates the flows of material looking for those dysfunctions of urban metabolism that determine landscapes of waste, so-called "wastescapes", According to the principles of Circular Economy (CE), wastescape can be considered an innovative resource for the regeneration of territories in crisis, and allow a change of paradigm able to determine multiple and different environmental, economic, social and cultural implications. The methodological approach aims at making operational the concept of Urban Metabolism, according to the CE principles, deepening the dynamics between energy flows, waste, information and people and identifying ecological and innovative solutions deriving from a win-win-win approach, considering the environmental, social and economic impacts of the transformations and the benefits deriving from the intrinsic relationships inherent in metabolic systems. The key challenge is to integrate models and methods to enable stakeholders to use the platform of GeoDesign Decision Support Environment (GDSE) for the interaction between the Life Cycle Assessment (LCA) and Peri-Urban Living Labs (PULLs) in order to develop reliable alternatives for spatial sustainable development strategies able to enhancing waste and resource management. A hybrid decision-making process consists of that tree interactive processes, where results from PULLs and LCA converge in GDSE in a reiterative methodology to enabling decision-makers to assess their decision alternatives.

#### KEYWORDS

*Wastescape remediation; Urban Metabolism; Hybrid Decision-making Process; LCA; Living Labs; GeoDesign* 



#### 1 INTRODUCTION

Waste management is an emergency in the contemporary world because waste production continues to grow up year by year. The World Bank's Urban Development Department has estimated that the municipal solid waste from the current 1.3 billion tons per year will grow to 2.2 billion tons per year by 2025.

Inefficient waste management and ineffective planning policies have gradually generated degradation of landscapes in particular in peri-urban areas with negative consequences on people health, resources loss and social conflicts. At the same time, the current linear economic model has revealed all its dysfunctions, producing loss of resources, disasters and crisis in environment, economic and social contexts.

The European Union has progressively increased systems of programmes and measures to promote the Circular Economy and obtain benefits for both the environment and the economy starting also from the wastes issues. The perspective of European Union is consistent with the United Nations that in 2015 adopted the 2030 Agenda for Sustainable Development, to end poverty, protect the planet and ensure prosperity, identifying 17 goals, with the aims to make cities and human settlements inclusive, safe, resilient and sustainable and ensure sustainable consumption and production patterns (United Nations, 2015).

Starting from the European policies and regulations on waste management (2008/9/EC), and taking into account the recent ISPRA 2017 and 2018 reports on urban waste and special waste, it is possible to identify the specifics of waste landscapes, also in relation to the flows of materials, energy and type of waste, and define if, when and where they can become a productive resource for the local Urban Metabolism (UM).

In Italy, waste management has globally improved over the last decade, but the legacy to be faced remains a serious problem. Improving the whole process of waste management needs a paradigm shift toward Life Cycle Thinking (LCT) to consider waste and wasted landscapes as a resource. In this kind of process Knowledge Management (KM) plays a central aspect, both in the communication of results and in the creation of an enabling context. The work to design solutions to face local regeneration and improve waste management requires communities and contexts prepared to follow and develop new paths. In the field of Knowledge Management (KM) is emerged that creating an enabling context is the first fundamental step to encourages knowledge sharing, creation and use (Choo & Alvarenga Neto, 2010).

The paper describes the hybrid decision-making process implemented in the Horizon 2020 REPAiR project, that integrates different approaches to enable wastescapes regeneration in different European case studies, analyzing the central role of the GeoDesign approach.

#### 2 FROM WASTE TO WASTESCAPES IN REPAIR PROJECT

The waste emergency in Campania, ended in 2009, has left the so-called "Land of Fires" region, a legacy marked by land to be reclaimed, a lack of recycling facilities and extensive landfills authorized and not, whose proximity to residential areas has provided further aggravation of environmental issues of peri-urban districts with consequent irreversible damage to the health of the inhabitants. In this context, the Horizon2020 REPAiR project, REsource Management in Periurban AReas. Going beyond Urban Metabolism (http://h2020repair.eu/), consisting of a consortium of universities, public and private bodies, investigates the flows of material looking for those dysfunctions of Urban Metabolism that determine landscapes of waste, wastescapes, in order to design suitable eco-innovative solutions.

Land of Fires, Italian case study, is one the most complex areas of Campania region. Waste management and planning policies are the results of decades of political inefficiency and of continuous illegal actions, that underline inner difficulties of administrations in waste management, cooperation and social obstacles.

REPAiR project involves 18 partners from six countries: Italy, Netherlands, Germany, Belgium, Hungary and Poland. The Italian partner is the Department of Architecture (DiARC) of University of Naples "Federico II" in cooperation with the Campania Regional Authority (CRA). The ambition of the project is to elaborate ecoinnovative solutions, investigating simultaneously wastescape and waste flows, by the support of a hybrid methodology to achieve this goal, able to combine soft and hard approaches and tools.

A key issue is related to the concept of Urban Metabolism (UM), starting from the interpretation of Kennedy et al. (2007) as the sum total of the technical and socio-economic processes that occur in cities, resulting in growth, production of energy, and elimination of waste. According to Broto et al. (2012) UM links material flows with ecological processes and social change by the understanding of flows and circularity. Ultimately, UM describes the continuous flows of resources (as water, energy, food, materials, waste, information, people) into, out of, and within metropolitan areas. Considering an urban area as the result of interacting complex systems means analysing the city as an ecosystem, where improving technical and socioeconomics processes mean to reduce waste and loss of resources flows.

According to the above perspective, cities dysfunctions are investigated through measurable impact in the wastescapes, patches of damaged lands in peri-urban areas, where urban and rural environments intersect. Wastescapes have been defined as "patches of landscape related to waste cycles both by functional relations and because they are 'wasted-lands': anomalous areas inconsistent with the peri-urban metabolism that become neglected spaces" (Russo et al., 2017). The Italian case study in REPAiR project, Naples Focus area, is composed of 11 selected municipalities (Acerra, Afragola, Caivano, Cardito, Casoria, Casalnuovo di Napoli, Cercola, Crispano, Frattamaggiore, Napoli, Volla), all composing the Land of Fire: an area that has been affected by waste emergency since 2009 and whose legacy is its biggest problem to address (Fig. 1).

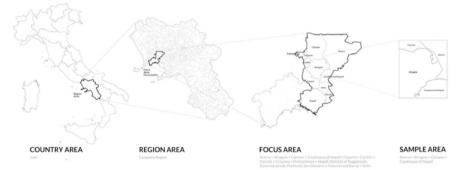


Fig.1 Project REPAiR - Italian Case Study - Naples Focus Area (source: REPAiR D3.3, 2018)

In a Circular Economy (CE) perspective, wastescapes can be considered a resource. Six categories of wastescapes have been selected as innovative resources to be reintegrated in the metabolic dynamics, to improve quality of the peri-urban areas. Some of these categories could be found at the scale of the entire focus area; other categories could be mapped instead only at a local scale, thanks to the interactions with local stakeholders and communities. Some categories are likely to overlap each other in the mapping process. The main wastescapes categories are identified as: Degraded land (W1), Degraded water and

connected areas (W2), Declining fields (W3), Settlements and buildings in crisis (W4), "Dross" of facilities and infrastructures (W5) and Operational Infrastructures of Waste (W6) (Geldermans et al., 2018).

The challenge to design suitable solutions for these kinds of landscapes, considering material flows and local communities knowledge and involvement, has been pursued structuring a methodology that systematize three different approaches in one hybrid framework, considering the following main objectives: To provide decision-makers with comparative assessments of different integrated spatial resource management strategies by combining forecasting methods, strategy conceptualizations and an integrated assessment of economic, environmental and social sustainability in a collaborative decision support environment; to develop an understanding of the characteristics, mechanisms and dynamics of European resource management systems by analyzing the relations between waste flows, environmental and spatial guality, allocation and governance in six peri-urban areas using life cycle thinking; to better interpret the link between metabolic flows and urban processes, by extending the assessment of urban metabolism to include urban driver concepts and urban patterns, as well as environmental and spatial quality, and co-benefits; to improve the knowledge and reliability of waste related data by reversed material flow accounting; to implement living labs in peri-urban areas (Peri-Urban Living Lab - PULL) in order to develop, test, implement and assess place-specific eco-innovative solutions for resource management to improve environmental and spatial quality and quality of life; to understand decision-making structures and processes in the case study areas with regard to interests and priorities of different stakeholders in order to add transparency to the decision making process; to disseminate and ensure the further uptake of the project's insights on aspects of resource management and GDSE development by including local and regional planning authorities, NGOs, public and private waste management companies, and future urban planners in the project. Moreover, open dissemination of insights, tools and technologies is provided across Europe, establishing the foundation for knowledge-based consultancy services that support local implementation of policies and spatial investments aimed at developing a CE.

### 3 THE METHODOLOGICAL FRAMEWORK

Research methodology of REPAiR project follows three iterations framework connected each other, according to Steinitz (2012) and Campagna (2014) methods, where GeoDesign Spatial Environment (GDSE) can be considered as the digital enabling context, where research results converge in reiterative phases of co-design and co-evaluation. Enabling conditions are the premise for the identification of an enabling context (Nonaka et al., 2000) and can be related to:

- social/behavioural: social relationships and interactions based on norms and values such as trust, care, empathy, attentive enquiry and tolerance;
- cognitive/epistemic: the need for both epistemic diversity and common knowledge or shared epistemic practices and commitments;
- information systems/management: the use of information systems and information management processes to support knowledge activities;
- strategy/structure: the need for the organisation and its management to provide direction and structure.

Therefore, in REPAiR projects, enabling contexts can be defined considering the following parameters (Geldermans et al., 2018):

- they may be wastescapes: depending on the factor of use, underused areas might more easily accommodate new eco-innovative processes;
- they may be public or private areas. In fact, abandoned public areas could be re-used more easily as compared to similar private areas. Moreover, experiments in the public areas could be a catalyst for the private areas, where the owner could follow the example of the public initiatives;
- they may be easily accessible. The importance of the accessibility is crucial for the implementation of the eco-innovative solutions; in fact, the possibility to access the area via public transportation, by bike or on foot can determine the choice of one solution over another as well as its success or failure;
- local stakeholders may or may not be interested in the transformation of the area. This is a quite clear parameter that guides the selection of a specific location for the implementation of a solution;
- they may be crucial in relation to the waste-specific geography, as being crossed by relevant flows, sources/delivery points of the waste-flows for which the case study providing deeper knowledge.

In the above conditions, it could be relevant to activate a hybrid decision-making process in order to systematize the work of several expert teams from industrial ecology, economy, sustainability analysis, spatial planning, environmental policies and to build the suitable context where it is possible to generate eco-innovative solutions. The decision-making process is structured by interactive parts of the three main frameworks where the main steps are developed in each framework context as in the following scheme (Fig. 2): A. wastescape/waste cycle selection; B. integrated spatial analysis, material flow analysis and social analysis; C. end-of-life scenarios; D. eco-innovative implementation; E. local/global impact assessment; F. suitable scenario selection.

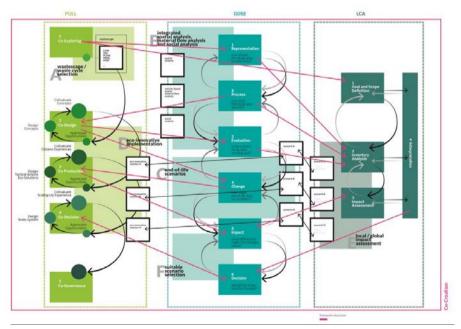


Fig. 2 The methodological framework where PULL, GDSE and LCA interact (source: Geldermans et al., 2017)

The three main frameworks identify three different approaches:

- GeoDesign Decision Support Environment (GDSE) is the central approach, and the platform where hard and soft data converge;
- Life Cycle Assessment (LCA) is the approach used to assess the different impacts;
- Peri-Urban Living Lab (PULL) is the approach used to interact with the real-life context (De Bonis et al., 2014), where knowledge is shared and where co-design process becomes effective using GDSE platform.

REPAiR project applies a GDSE approach aims to reveal both local and space-specific challenges of waste and resource management as well as integrated and place-based eco-innovative solutions for these challenges. In the terminology of the REPAiR project, a "solution" is a technical, organisational or juridical approach to solve one specific material and waste management challenge. Combinations of solutions are called "strategies". Thus, a GDSE is a tool to develop and comparatively assess alternative strategies in the field of material and waste management (Arciniegas et al., 2016).

One of the aims of the REPAiR project is to develop, test and apply the GDSE, conceived as an open source product designed for a use within workshop session of the Peri-Urban Living Labs (PULLs), where small groups of participants cooperatively develop strategies consistent with CE model and with a special focus on waste and resource management.

REPAiR adapts Steinitz's (2012) GeoDesign framework, comprising six questions that are asked at, at least three points in a GeoDesign project to understand the study area, to specify the methods and to perform the study: 1. How should the study area be described? 2. How does the study area operate? 3. Is the current study area working well? 4. How might the study area be altered? 5. What differences might the changes cause? 6. How should the study area be changed?

As much as it is helpful to the REPAIR, Steinitz's approach was not yet extensively applied to research questions in the field of waste and recycling. Waste and recycling are closely linked to production chains, and the degree to which production chains are meeting the requirements of the "cradle to cradle" vision can be measured using Life Cycle Assessment (LCA). In REPAIR, LCA is therefore added as an essential component to Steinitz's approach, for analyzing the impacts of products along with all steps of its production chain and therefore as part of the co-evaluation of eco-innovative strategies aiming to use waste as a resource. Linking LCA to Steinitz approach includes some methodological challenges, with specific attention to the spatial dimension, not included in the current state-of-the-art of the LCA methodology. Therefore the GSDE aims to find a way of combining GeoDesign and LCA by using different levels of spatial differentiation inside and outside to specific, mostly peri-urban, focus areas. At the same time, GSDE interacts with PULL phases and is the core element of communication and co-designing with the stakeholders involved in the different PULLs in order to transparently develop, access and discuss requirements and alternative options for eco-innovative solutions. The defined approach follows up on the work of Arciniegas and Janssen (2012) related to collaborative GIS-based tools and their integration in workshop settings. At the end of the REPAIR project, the GDSE will be made available as an open source tool in order to facilitate its application in other research and policy-making contexts. Once the GDSE will be published in 2019, all the materials will be available on REPAiR website.

#### 4 CONCLUSIONS

"Enhancing disaster preparedness for effective response and to "Build Back Better" in recovery, rehabilitation and reconstruction" is the Priority 4 of Sendai Framework for Disaster Risk Reduction. It suggests to "adopt public policies and actions that support the role of public service workers to establish or strengthen coordination and funding mechanisms and procedures for relief assistance and plan and prepare for postdisaster recovery and reconstruction", among others. Wastescape is frequently man-made high-risk landscapes. Natural disaster is louder and more striking than man-made ones, but these produces silent effects much more compound "to repair". REPAiR project is testing an hybrid decision-making process, that take place in GeoDesign environment, regards this complexity enabling to interplay expert knowledge and local communities, crossing methods, approaches and tools, because just collaborative processes can build back better damaged landscapes, and communities active awareness is the most important component for long-term sustainable transformations.

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ISBN:978-88-6887-048-5 DOI:10.6093/978-88-6887-048-5